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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
3728-109US

Total Pages in this Submission

564

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SELF-DESCRIBING FILE SYSTEM**

and invented by:

**STEPHEN A. RAGO**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 18 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal      b. ☒ Informal      Number of Sheets 8
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & documents) (and check for \$40.00)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL640249163US

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

| For  | #Filed | #Allowed | #Extra | Rate | Fee      |
|--|--------|----------|--------|------|----------|
| Total Claims   | 15     | - 20 =   | 0      | x    | \$0.00   |
| Indep. Claims  | 2      | - 3 =    | 0      | x    | \$0.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |      | \$0.00   |
| BASIC FEE  |        |          |        |      | \$355.00 |
| OTHER FEE (specify purpose) <u>Assignment Recordation Fee</u>            |        |          |        |      | \$40.00  |
| TOTAL FILING FEE   |        |          |        |      | \$395.00 |

- ☒ A check in the amount of \$355.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3040 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: October 4, 2000

  
Signature

Richard C. Woodbridge, Esq.  
Woodbridge & Associates, P.C.  
P.O. Box 592  
Princeton, NJ 08542

cc: Stephen A. Rago

# EXPRESS MAIL CERTIFICATE

ATTORNEY DOCKET NUMBER:  
3728-109US

"Express Mail" Mailing Label Number: **EL640249163US**

"Express Mail" Corporate Account Number: **X085783**

Date of Deposit: **October 4, 2000**

## TYPE OF DOCUMENTS

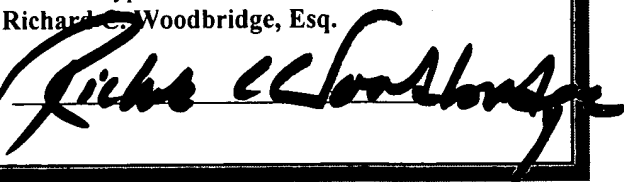
- |   |   |
|---|---|
| <input type="checkbox"/> PCT Patent Application & Request                               | <input type="checkbox"/> Amendment & Response to Office Action                |
| <input checked="" type="checkbox"/> U.S. Patent Application Specification – 18 pages    | <input checked="" type="checkbox"/> Declaration & Power of Attorney – 3 pages |
| <input type="checkbox"/> U.S. Provisional Patent Application                            | <input type="checkbox"/> _____ Month/Day Extension of Time                    |
| <input checked="" type="checkbox"/> Verified Statement of Small Entity Status – 2 pages | <input type="checkbox"/> Preliminary Amendment                                |
| <input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet – 2 pages      | <input type="checkbox"/> Trademark Application                                |
| <input checked="" type="checkbox"/> Check in the Amount of \$355.00                     | <input checked="" type="checkbox"/> Check in the Amount of \$40.00            |
| <input checked="" type="checkbox"/> Acknowledgment Postcard                             | <input checked="" type="checkbox"/> Transmittal Letter/ Cover Sheet – 3 pages |
| <input type="checkbox"/> Notice of Opposition   | <input checked="" type="checkbox"/> Drawings - 8 pages                        |
| <input checked="" type="checkbox"/> Information Disclosure Citation Statement – 2 pages | <input checked="" type="checkbox"/> Copies of IDS Citations – 7 total         |
| <input type="checkbox"/>  | <input checked="" type="checkbox"/> Copies of IDS Other Documents – 5 total   |

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Typed Name:

**Richard C. Woodbridge, Esq.**

Signature:



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